



Edward J. I≿ssler Jorge A. Goldstein David K.S. Cornwe Donald J. Featherstone Michael V. Messinger Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Jeffrey T. Helvey Heidi L. Kraus Crystal D. Sayles Donald R. Banowit Peter A. Jackman Molly A. McCall Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Brian J. Del Buono Virgil Lee Beaston\* Kimberly N. Reddick Theodore A. Wood Rodney G. Maze

Registered Patent Agents\* Karen R. Markowicz Nancy J. Leith Ann E. Summerfield Helene C. Carlson Gaby L. Longswort Matthew J. Dowd Aaron L. Schwartz Mary B. Tung Katrina Y. Pei Bryan L. Skelton Robert A. Schwartzman John J. Figueroa Timothy A. Doyle Jennifer R. Mahalingappa

nneth C. Bass III Lisa A. Dunner

- \*Admitted only in Maryland + Admitted only in Virginia Admitted only in Texas Practice Limited to Federal Agencies

December 3, 2002

WRITER'S DIRECT NUMBER: (202) 371-2619 INTERNET ADDRESS: SCHWARTZ@SKGF.COM

RECEIVED

DEC 0 5 2002

**TECH CENTER 1600/2900** 

Art Unit 1642

Washington, D.C. 20231

Commissioner for Patents

Re: U.S. Utility Patent Application

Appl. No. 09/263,689; Filed: March 5, 1999

**Galectin 9 Polypeptides** For:

Inventors:

NI et al.

Our Ref:

1488.0560002/EKS/ALS

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Fee Transmittal Form (PTO/SB/17);
- 2. First Supplemental Information Disclosure Statement;
- Form PTO-1449 (5 sheets citing 18 documents); 3. :
- 18 documents cited in Form PTO-1449 attached; and 4.
- Our check no. 37447 for \$180.00 to cover: 5. \$180.00 Late Submission of Information Disclosure Statement; and
- 6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents December 3, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Agent for Applicants
Registration No. 48,181

EKS/ALS/lam Enclosures

::ODMA\MHODMA\SKGF\_DC1;78584;1

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Under the Paperwork Reduction Act of 1995, no person

## FEE TRANSMITTAL 4 for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

AMOUNT OF PAYMENT

sale required to respond to a confection of information amount displays a valid Oldin Control Humber.									
Complete if Known 01P									
Application Number	09/263,689								
Filing Date	March 5, 1999	B DEC O							
First Named Inventor	Jian NI	7 2002 6							
Examiner Name	Canella, K.	Z							
Group Art Unit	1642	CRANCE OF OFF							
Attorney Docket No.	1488.0560002/EKS	S/ALS							

TOTAL AMOUNT OF PAYMENT (\$)180.00		Attorney booker no.							
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
☐ Credit card ☐ Money ☐ Other** ☐ None		3. ADDITIONAL FEES							
		Large Entity Small Entity							
Deposit Account									
Deposit Account Number 19-0036		(+)		(4)				Fee Paid	
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.		130	2051	65	Surcharge - late filing fee or oath				
The Commissioner is authorized to: (check all that apply)		50	2052	25	Surcharge-late provisi sheet	orcover	큠		
Charge fee(s) indicated below Credit any over payments		130	1053	130	Non-English specificat	로			
Charge any additional fee(s) during the pendency of this application		2,520	1812	2,520	For filing a request for	or filing a request for ex parte reexamination			
Charge fee(s) indicated below, <b>except for the filing fee</b> to the above-identified deposit account.		920*	1804	920*	Requesting publication of SIR prior to Examiner action			ENTER .	
** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		1,840*	1805	1,840*	Requesting publication action				
	1251	110	2251	55	Extension for reply wit	xtension for reply within first month			
	1252	400	2252	200	Extension for reply wit	xtension for reply within second month			
FEE CALCULATION	1253	920	2253	460	Extension for reply with	600/2900			
1. BASIC FILING FEE	1254	1,440	2254	720	Extension for reply with	dension for reply within fourth month			
Large Entity Small Entity	1255	1,960	2255	980	Extension for reply with	hin fifth month	n		
Fee Fee Fee Fee Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal				
Code (\$) Code (\$) 1001 740 2001 370 Utility filing fee	1402	320	2402	160	Filing a brief in suppor	t of an appea	I		
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing			,,,,,,	
1003 510 2003 255 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding				
1004 740 2004 370 Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable				
1105 160 2005 80 Provisional filing fee	1453	1,280		640	Petition to revive - unintentional				
I SUBTOTAL (1) (\$) <u>0.00</u>	1501	1,280	2501	640	Utility issue fee (or reis				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		460	2502	230	Design issue fee	,			
Fee from Extra Claims below Fee Paid	1502								
Total Claims 20** = x = Independent	1503	620	2503	310	Plant issue fee				
Multiple - 3** = x =	1460	130	1460	130	Petitions to the Commissioner				
Dependent = =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt			180.00	
Code (\$)   Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)				
1201 84 2201 42 Independent claims in excess of 3	1809	740	2809	370	Filing a submission after final rejection (37 CFR				
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims	1810	740	2810	370	1.129(a))  For each additional invention to be examined				
over original patent	1801	740	2801	370	(37 CFR 1.129(b))				
1205 18 2205 9 **Reissue claims in excess of 20	1802				Request for Continued Examination (RCE)				
and over original patent		900	1802	900	Request for expedited application	examination (	of a design		
SUBTOTAL (2) (\$)0.00		Other fee (specify)							
**or number previously paid, if greater; For Reissue, see above	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00						0.00		
Name (Print/Type)  Aaron L. Schwartz  Registration No. (Attomey/Agent)  48,181						Complete (if applicable)  Telephone 202-371-2600			
	Attomey	regent)							
Signature / // / / / / / / / / / / / / / / / /						Date	12/3	3/02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.